

## **CABINET MEMBER FOR ADULT, SOCIAL CARE AND HEALTH**

**Venue: Town Hall, Moorgate  
Street, Rotherham.**

**Date: Monday, 6 October 2008**

**Time: 10.00 a.m.**

### **A G E N D A**

1. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972.
2. To determine any item which the Chairman is of the opinion should be considered as a matter of urgency.
3. Apologies for Absence.
4. Minutes of the previous meeting held on 22 September 2008 (herewith) (Pages 1 - 4)
5. Customer Service Centres - Update (Mark Gannon to report)
6. Revenue Budget Monitoring 2008/09 (Pages 5 - 9)
7. Date and time of next meeting:- 20 October 2008

**CABINET MEMBER FOR ADULT, SOCIAL CARE AND HEALTH**  
**Monday, 22nd September, 2008**

Present:- Councillor Kirk (in the Chair); Councillors Gosling and Jack.

Apologies were received from Councillor P. A. Russell.

**40. MINUTES OF THE PREVIOUS MEETING HELD ON 8 SEPTEMBER 2008**

Resolved:- That the minutes of the meeting held on 8 September 2008 were approved as a correct record.

**41. CAPITAL EXPENDITURE MONITORING REPORT**

Mark Scarrott, Service Accountant (Adult Services) presented the submitted report which informed members of the anticipated outturn against the approved Adult Services capital programme for the 2008/09 financial year.

The actual expenditure to mid August 2008 was £5.5m against an approved programme of £7.8m. The approved schemes were funded from a variety of different funding sources including, unsupported borrowing, allocations from the capital receipts, Supported Capital Expenditure and specific capital grant funding.

The report provided a brief summary of the latest position on the main projects within each client group

Older People

The construction of the two new residential care home was estimated to be completed by mid-October with full decommissioning of existing homes by the end of December. EDS were project managing the scheme and had forecast an overall overspend on the project. A report was submitted to the Corporate Management Team on 8 September 2008 requesting additional funding for completion of both homes and this had been supported. The report would now go to Regeneration and Asset Board and the Cabinet for the final decision.

The Assistive Technology Group was being managed jointly and was being used to purchase Telehealth and Telecare equipment to enable people to live in their own homes. The recent appointment of a project manager had resulted in a review being undertaken with NHS Rotherham and an agreement in principle that the funding would be used to procure lifeline connect alarms, low temperature sensors and fall detectors in peoples homes.

A small element of the Department of Health specific grant (£20k) issued

in 2007/08 for improving the environment within residential care provision was carried forward into 2008/09.

### Learning Disabilities

The small balances of funding carried forward from 2007/08 were to be used for the equipment for Parkhill Lodge and within supported living schemes.

The refurbishment at Addison Day Centre was now complete. There had been delays in the start of the refurbishment of the REACH Day centre due to insufficient funding. Further funding options were being explored together with a review of the contract specification.

### Mental Health

A small balance remained on the Cedar House capital budget and would be used for additional equipment. A large proportion of the Supported Capital Expenditure allocation had been carried forward from previous years due to difficulties in finding suitable accommodation for the development of supported living schemes. Suitable properties were being identified and spending plans were being developed. The possibility of funding equipment purchased for direct payments was being considered to reduce the current pressures on the revenue budgets. Further options were also being considered to provide more intensive supported living schemes with a range of providers.

### Management Information

The final year of specific capital grant for Improving Management Information was 2007/08 and a balance of £120 was carried forward into 2008/09. The funding had been earmarked to further develop Electronic Social Care Records within Health and Social Care working with the Council's strategic partner RBT and Children and Young People's Services. At the end of August the Department of Health announced a new capital grant for Adult Social Care IT infrastructure over the next three years (£276k). Spending plans were currently being developed to integrate social care information across both health and social care.

A question and answer session ensued and the following issues were raised:-

- Whether there were any plans for converting the residential homes into the resource centres. It was confirmed that there were only plans to consolidate the services at Copeland and Charnwood.
- Concerns were raised that the people of Rotherham would not be receiving the services they required as a result of this. A request was made for a report to be brought to a future meeting outlining what the service users wanted and how it was proposed that those services would be delivered.

**3D CABINET MEMBER FOR ADULT, SOCIAL CARE AND HEALTH - 22/09/08**

- Planning permission had been given for a change of use at Addison Day Centre. A discussion took place as to what this was. It was confirmed that with the growth in the number of people being treated with Autism it was necessary to move to larger premises.

Resolved:- That the Adult Services forecast capital outturn for 2008/09 be noted and received.

**42. SERVICE PLAN 2008/11**

Kim Curry, Director of Commissioning and Partnerships gave a presentation in relation to the Service Plan 2008/11.

The presentation drew specific attention to the most relevant objectives which were:-

- Objective 1 – To Improve access and standards of service where performance compares less well with the best
- Objective 3 – To increase affordable housing and make better use of available housing assets to create lifetime homes
- Objective 4 – Modernising Services so that they are designed in a way which maximises people's independence
- Objective 5 – Helping more people to live at home
- Objective 6 – Improve Services for Carers
- Objective 7 – Increasing awareness of safeguarding and reducing cases of abuse

A question and answer session ensued and the following issues were raised:-

- With the backlog for social care work now completely cleared, a query was raised as to what measures were in place to ensure that this continued. It was confirmed that the target for undertaking the assessment was 5 working days, and 10 working days for completion of the task.
- Concerns were raised about the continuing backlog for community occupational therapy. As a result, a request was made for regular reports to be made available at each meeting in order to monitor the situation.
- Whether there was the same number of Occupational Therapists now as there had been at the same time the previous year.
- Concerns were raised about the indicator relating to homecare packages which was not performing well.
- Concerns were raised about the accommodation strategy. It was agreed that RBT would be asked to do a presentation on the accommodation strategy to a future meeting.

Resolved:- (1) That the content of the Service Plan be noted

(2) That regular updates be received in relation to the waiting list and waiting times for Community Occupational Therapy assessments

(3) That RBT be asked to bring a presentation to a future meeting in relation to the Accommodation Strategy.

**43. EXCLUSION OF THE PRESS AND PUBLIC**

Resolved:- That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 2 of Schedule 12A to the Local Government Act 1972, as amended.

**44. HIGHFIELDS NURSING HOME - UPDATE**

Sam Newton, Director of Assessment and Care Management gave the Cabinet Member and Advisors an update in relation to the recent closure of Highfields Nursing Home at North Anston.

She outlined the sequence of events which had led to the closure of the home and confirmed that South Yorkshire Police were investigating the matter further.

**45. DATE AND TIME OF NEXT MEETING:- 6 OCTOBER 2008**

Resolved:- That the next meeting be held on Monday 6 October 2008 commencing at 10.00 am.

<b>ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS</b>
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<b>1</b>	<b>Meeting:</b>	<b>Cabinet Member for Adult Social Care and Health</b>
<b>2</b>	<b>Date:</b>	<b>Monday 6 October 2008</b>
<b>3</b>	<b>Title:</b>	<b>Adult Services Revenue Budget Monitoring Report 2008/09.</b>
<b>4</b>	<b>Directorate :</b>	<b>Neighbourhoods and Adult Services</b>

## **5 Summary**

**This Budget Monitoring Report provides a financial forecast for the Adult Services Department within the Neighbourhoods and Adult Services Directorate to the end of March 2009 based on actual income and expenditure to the end of August 2008.**

The current forecast for the financial year 2008/09 is an overall net projected overspend of £283,000, prior to the service identifying any management actions. Management Actions to minimise this forecast overspend are currently being quantified and will be included in the next revenue monitoring report.

## **6 Recommendations**

**Members are asked to note:**

**The latest financial projection against budget for the year based on actual income and expenditure to the end of August 2008 for Adult Services.**

## **7 Proposals and Details**

### **7.1 The Current Position**

- 7.1.1 The approved net revenue budget for Adult Services for 2008/09 is £68.5m. Included in the approved budget was funding for demographic and existing budget pressures together with a number of efficiency savings identified through the 2008/09 budget setting process. However, based on current forecasts there are a number of pressures in 2008/09.
- 7.1.2 This budget monitoring report for Adult Services shows at this stage there are some emerging budget pressures, with a projected net overspend of £283,000 to the year end, however this excludes the financial impact of any management actions that are currently being identified at the finance clinics to mitigate this forecast overspend.
- 7.1.3 The latest year end projections show there are budget pressures on Direct Payments (£196k), within Physical and Sensory Disabilities and Mental Health services. Other projected overspends include additional unforeseen placements in to residential care for clients with Physical and Sensory Disabilities (£176k a net increase of 9 placements) and overspends within employees budgets (£211k) including increased use of in-house residential care bank staff and an overspend within domiciliary care management and administration teams over and above budget. Pressures have also been identified in respect of increased energy costs (£100k) within residential and day centres. The increase in energy costs is being monitored across all directorates within the Council.
- 7.1.4 The above pressures are being partially offset by additional income from continuing health care placements and delays in start up of supported living schemes within Learning Disability services (£400k).

### **7.2 Current Action**

- 7.2.1 To mitigate the financial pressures within the service all vacancies continue to require the approval of the Service Directors. Budget clinics with Service Directors and managers are now taking place on a monthly basis to monitor financial performance against approved budget and consider potential options for managing expenditure within budget.

## **8. Finance**

Finance details are included in section 7 above and the attached appendix shows a summary of the overall financial projection for each main client group.

## 9. Risks and Uncertainties

There are a number of underlying pressures within the service which continue to be reviewed and monitored. Uncertainties in respect of timing of the opening of the two new homes may impact on budget performance. Plans for the closure of existing homes and the opening of the two new homes is being finalised and any further impact on budget will be reported as soon as identified. Also, the report assumes the achievement of the full savings associated with shifting the balance of home care from in-house provision to independent sector provision, agreed as part of the budget setting process. Any delays in implementing the new working arrangements within in-house services will impact on the ability to achieve the agreed savings and therefore increase the projected overspend.

Management Action Plans are being developed to address the budget pressures including the consideration of the impact of any decisions on the Key Performance Indicators. Careful scrutiny of expenditure and income and close budget monitoring remains essential to ensure equity of service provision for adults across the Borough within existing budgets.

## 10. Policy and Performance Agenda Implications

The delivery of Adult Services within its approved cash limit is vital to achieving the objectives of the Council and the CSCI Outcomes Framework for Performance Assessment of Adult Social Care. Financial performance is also a key element within the assessment of the Council's overall performance.

## 11. Background Papers and Consultation

- Report to Cabinet on 20 February 2008 –Proposed Revenue Budget and Council Tax for 2008/09.
- The Council's Medium Term Financial Strategy (MTFS) 2008-2011.

This report has been discussed with the Strategic Director of Neighbourhoods and Adult Services and the Strategic Director of Finance.

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ADULT SOCIAL SERVICES  
REVENUE BUDGET MONITORING SUMMARY

Last Net Projected Variance £000	Directorate/Service Area	EXPENDITURE/INCOME TO DATE (As at 31 August 2008)						PROJECTED OUT-TURN						Revised Financial RAG Status	* Note				
		Expenditure			Income			Net			Net								
		Profiled Budget £000	Actual Spend to date £000	Variance (Over (+) / Under (-) Spend) £000	Profiled Budget £000	Actual Income to date £000	Variance (Over (+) / Under (-) Recovered) £000	Profiled Budget £000	Actual Net Expenditure to date £000	Variance (Over (+) / Under (-) Spend) £000	Annual Budget £000	Proj'd out turn £000	Variance (Over (+) / Under (-) Spend) £000			Current Financial RAG Status	Financial Impact of Management Action £000	Revised Projected Year end Variance Over(+)/Under(-) spend £000	
13	<u>Commissioning and Partnerships</u>	4,764	4,771	7	(4,517)	(4,297)	220	247	474	227	4,501	4,515	14	0	0	14	Red	1	
	<u>Assessment and Care Management :</u>																		
215	- Physical & Sensory Disabilities	1,803	2,071	268	(272)	(366)	(94)	1,531	1,705	174	4,629	4,842	213	Red	0	213	Red	2	
(62)	- Older Peoples Services (Indep)	12,998	13,214	216	(4,227)	(4,740)	(513)	8,770	8,475	(295)	23,685	23,590	(95)	Green	0	(95)	Green	3	
20	<u>Independent Living</u>	278	277	-1	(57)	(88)	(31)	221	189	(32)	1,723	1,744	21	Red	0	21	Red	4	
	<u>Health and Well Being :</u>																		
465	- Older Peoples Services (In House)	8,632	8,799	167	(1,778)	(1,837)	(59)	6,854	6,962	108	15,159	15,772	613	Red	0	613	Red	5	
(422)	- Learning Disabilities	8,702	8,706	4	(2,786)	(2,878)	(92)	5,915	5,828	(87)	14,882	14,461	(421)	Green	0	(421)	Green	6	
(81)	- Mental Health	2,118	2,226	108	(120)	(167)	(47)	1,998	2,059	61	3,953	3,891	(62)	Green	0	(62)	Green	7	
148	<b>Total Adult Social Services</b>	39,295	40,064	769	(13,757)	(14,373)	(616)	25,538	25,691	153	68,532	68,815	283		0	283			

**Reason for Variance(s), Actions Proposed and Intended Impact on Performance**

**NOTES** Reasons for Variance(s) and Proposed Actions  
*Indicate reasons for variance (e.g. increased costs or client numbers or under performance against income targets) and actions proposed to address the*

**Main Reasons for Variance**

**1 Commissioning & Partnerships**  
 Slippage on vacancies and disestablishment of vacant posts is reducing the forecasted pressures on corporate costs.

**2 Assessment and Care Management**

Physical & Sensory Disabilities  
 Increases in admissions to residential care - additional 9 placements since April 2008 (£175k).  
 Projected overspend on Direct Payments (£166k) - further work being undertaken.  
 Underspend on Assessment and Care Management employee costs (-£103k).

**3 Older Peoples Services (Independent)**  
 Projected overspend on independent sector residential care due to additional placements (£77k). Underspend on funding for clients with Preserved rights (-£60k). Current forecast underspend on Direct Payments (-£60k), EMI day care (-£23k) and car mileage (-£15k) initial cost of single assessment (£30K).

**4 Independent Living**  
 Projected overspend on staffing costs at St. Ann's.

**5 Health and Well Being**

Older Peoples Services (In House)  
 Assumed shifting the balance savings will be achieved at this stage.  
 Forecast net shortfall in income from residential care charges due to lower occupancy levels (£222k).  
 Budget shortfall on Laundry Service (service subject to review) and decision to continue the bathing service beyond the end of July (£190k).  
 Increase energy costs within Residential and Day Care establishments (£161k).  
 Projected recurrent overspend on domiciliary care management and admin operational teams (£124k).

Learning Disabilities  
 Recurrent overspend on day care transport (£138k) offset by additional income from continuing health care funding (-£477k).  
 Slippage on start up of Supported Living schemes (-£58k), reduced costs of community support (-£25k).

**6 Mental Health**  
 Projected underspend on residential care due to planned drugs & alcohol placements not been taken up (-£78k) .  
 Section 28a funding now agreed with the PCT (-£54k).  
 Underspend on Assessment & Care Management (£-17k)  
 Projected overspend on Direct Payments due to increasing demand (£90k) - further detailed work being undertaken.

**7 Proposed Actions to Address Variance**  
 Budget performance clinics now established for the full financial year to monitor financial performance against approved budget.  
 Management Actions to reduce the forecast overspend are currently being identified by budget holders.

**Performance**  
*(List key targets and RAG status- highlight impact of actions intended to address budget)*

Physical Disabilities  
 CSCI proposing service inspection  
 Performance indicator C29 - deteriorating position for physical disability users helped to live at home, subject to corporate performance clinics

**Residential/Nursing Care**  
 Performance indicator C72 - national target to reduce admissions (Target 89) - currently on target (36) but mainly due to short stay residents which creates cost pressures.  
 Performance indicator C73 - target to reduce admissions for under 65s currently off target as 5 additional placements have been made compared to same stage last year.

**Home care**  
 Performance indicator C28 - currently on track to increase performance compared to last year with additional and costly care packages.

**Assessment and Care Management**  
 Performance indicator NI 132 - indicator causing concern and subject to a performance clinic

**Direct Payments**  
 Performance indicator NI 130/C51 - currently on track to deliver target for direct payments which will lever £360k in LAA performance reward grant in March 2009.